JC03 Rec'd PCT/PTO 1 3 JUN 2005 PTO-1390(Rev. 12-2004)

Approved for use through 3/31/2007. ONB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

ATTORNEY 'S DOCKET NO. CCS5002USPCT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES

	SIGNATED/ELECTED OFFIC INCERNING A FILING UNDER		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/538946					
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/EP03/014474		December 12, 2003	December 13, 2002					
TITI	TITLE OF INVENTION: CONTROLLED RELEASE PREPARATION COMPRISING TRAMADOL AND TOPIRAMATE							
APF	PLICANT(S) FOR DO/EO/US :Dieter Bach	mann, Reza Eivaskhani, Christian Brau	n, Rene Spycher, Brian Strong					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1.		☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.	☐ This is a <b>SECOND</b> or <b>SUBSEQUEN</b>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.	☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below							
4.	☐ The US has been elected (Article 31)	).						
5.	□ A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))						
	<ul> <li>a. ⊠ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>							
6.	☐ An English language translation of the	☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	<ul> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>							
8.	☐ An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).						
9.	☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). – (Unexecuted)							
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
	Items 11 to 20 below concern documer	t(s) or information included:						
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	☑ A preliminary amendment.	A preliminary amendment.						
<b>`14</b> .	☐ An Application Data Sheet under 37 CFR 1.76.							
15.	A substitute specification.							
16.	A power of attorney and/or change of address letter.							
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.							
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20.	☑ Other items or information: Express	☑ Other items or information: Express Mail Certificate & Power of Attorney or Authorization of Agent						

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC09 Rec'd PCT/PTO 13 JUN 2005

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Page 2 of 2

U.S. APPLICATION NO. (PLINGWASSER 30 CR 456) INTERNATIONAL APPLICATION NO. PCT/EP03/014474						ATTORNEY'S DOCKET NUMBER CCS5002USPCT	
21. ☑ The following fees are submitted:						Applicant use	Office use only
						\$ 300.00	
			\$3			\$ 200.00	
_ ′			\$20			\$ 500.00	
c) Search fee			\$5	00.00		\$ 1,000.00	
	TOTAL OF ABOV		<u> </u>	00.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets		r of each additional 50 or fraction RATE of (round up to a whole number		RATE		
6- 100 =	/50 =				x \$250.00	\$	
	00 for furnishing the e (37 CFR 1.492(e)		claration later than 30 mon	ths from	the earliest	\$	
CLAIMS	NUMBER FILE	D	NUMBER EXTRA	RA	TE		
Total claims	16-20		x \$50.00				
Independent claims	s 1- 3		x 200.00				
MULTIPLE DEPEN	IDENT CLAIM(S) (if	f applicable)		+ \$300.00		\$	
			TOTAL OF ABOV	E CALC	ULATIONS =	\$2,000.00	
,	s small entity status	s. See 37 C	FR 1.27. The fees indicat	ed abov	e are reduced	\$	
Dy /2.	by ½.  SUBTOTAL = \$2,000						
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE = \$2,000.00							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
			TOTAL	FEES I	ENCLOSED =	\$2,000.00	
			Amo	unt to t	e refunded:		\$
			Amo	ount to	be charged:		\$2,000 00
a.  A check in the amount of \$ to cover the above fees is enclosed.							
b.   Please charge my Deposit Account No. 10-0750/CCS5002/USPCT/MBZ in the amount of \$2,000.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  Account No. 10-0750/CCS5002/USPCT/MBZ. A duplicate copy of this sheet is enclosed.							
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:		Sig	/Matthew B. Zisk/		<del></del>		
Philip S. Johnson, Esq. Johnson & Johnson				Name: Matthew B		. Zisk	
One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA				Reg. No.45,257 Attorney for Applicants			

Express Mail Label No. EV 546 123 163 US Docket No. CCS 5002USPCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

BACHMANN et al.

For:

CONTROLLED RELEASE PREPARATIONS COMPRISING

TRAMADOL AND TOPIRAMATE

**Express Mail Certificate** 

"Express Mail" mailing number:

EV 546 123 163 US

**Date of Deposit:** 

June 10, 2005

I hereby certify that this entry into the National Phase pursuant to 35 USC 371 contains complete application:

$\square$	Express Mail Certificate	(1 page)
H	•	· · • · · · ·
	Return Receipt Postcard	(1 page)
$\boxtimes$	PCT FORM 1390 Transmittal	(2 pages & 2 copies of same)
$\boxtimes$	Copy of International Application as filed	(32 pages)
	Preliminary Amendment	(6 pages)
$\boxtimes$	Declaration – (Unexecuted)	(4 pages)
$\overline{\boxtimes}$	Power of Attorney or Authorization of Agent	(3 pages)
/ <b>×</b>	Information Disclosure Statement	(4 pages & 2 copies of same)
$\boxtimes$ :	PTO Form 1449	(1 page & copies of cited references)
$\boxtimes$	SUBMISSION UNDER MPEP 609 D	(1 page & copy of PCT Search Report)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee; service under 37 CFR 1.10 on the date indicated above is addressed to the Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Laurie Phillips

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)